

34002

Date: May 15, 2013

TO: Board of Acquisition and Contract

FROM: Ann Marie Berg
Commissioner of Finance

RE: **Resolution authorizing the County of Westchester to enter into agreements with four firms which will provide audit and review services in an amount not to exceed \$800,000 for the initial two-year period commencing January 1, 2013 and terminating December 31, 2014 with options for the County to renew the agreements for two additional two-year periods**

Authority is requested for the County of Westchester (the "County") acting by and through its Department of Finance (the "Department"), to enter agreements with Mitchell & Titus LLP, O'Connor Davies, LLP, Wei Wei Co., LLP and Albrecht, Viggiano, Zureck & Company, P.C., (collectively, the "firms"), pursuant to which the firms will provide audit and review services, for which the County will pay the firms an amount not to exceed Eight Hundred Thousand (\$800,000.00) Dollars for the initial two-year period at the rates contained in the attached Schedule "A," pursuant to an approved budget for a term commencing January 1, 2013 and continuing through December 31, 2014, with options for the County to renew the agreements for two additional two-year periods upon written notice to the firms.

On January 4, 2013, the Department sent a request for proposal to 9 vendors. Of the 5 which responded, 4 were deemed satisfactory to serve the County's needs based on their abilities, pricing and location. The Department believes that engaging 4 firms would be most advantageous to the County in accordance with section 7 of the County Procurement Policy because doing so will maintain healthy competition for pricing and services as well as to maintain the necessary flexibility to distribute assignments among firms, similar to the County's use of multiple bond counsel firms.

The goals and objectives of these agreements are to allow the County to have the professional services of qualified public accounting firms to provide multi-disciplinary audit and review services to various County departments. The audit and review services are to be conducted with the intent of confirming compliance with the appropriate county, state and federal policies, rules, regulations, laws and agreements, and determine the financial integrity, economy, efficiency and effectiveness of a variety of County operations.

The goals and objectives serve a public purpose because the County is involved in a full range of government operations and is engaged in various complex private ventures, including lease

agreements, the operation of an airport, a public transportation system, water and sanitary sewer systems and an amusement park.

Accomplishment of the aforesaid goals and objectives will be jointly monitored by the Department and the requesting departments for specific engagements through written reports to be issued by the auditing firms, including reviews of internal control structures and findings of reportable conditions uncovered during the audit or review for anything which could adversely affect the County's ability to record, process, summarize, and report financial information.

Accordingly, approval of the attached resolution is most respectfully requested.

AMB/dck
Attch.

APPROVED BOARD OF ACQUISITION & CONTRACT - 06/20/2013 JOMAR V. ALONSO SECRETARY

RESOLUTION

Upon a communication from the Commissioner of Finance, be it hereby

RESOLVED, that the County of Westchester, acting by and through its Department of Finance, is authorized to enter agreements with Mitchell & Titus LLP, O'Connor Davies, LLP, Wei Wei Co., LLP and Albrecht, Viggiano, Zureck & Company, P.C., (collectively, the "firms"), pursuant to which the firms will provide audit and review services, for which the County will pay the firms an amount not to exceed Eight Hundred Thousand (\$800,000.00) Dollars for the initial two year period at the rates contained in the attached Schedule "A," pursuant to an approved budget for a term commencing January 1, 2013 and continuing through December 31, 2014, with options for the County to renew the agreements for two additional two-year periods upon written notice to the firms.; and be it further

RESOLVED that this Agreement is subject to County appropriations; and be it further

RESOLVED that this Agreement is also subject to further financial analysis of the impact of any New York State Budget (the "State Budget") proposed and adopted during the term of this Agreement. The County shall retain the right, upon the occurrence of any release by the Governor of a proposed State Budget and/or the adoption of a State Budget or any amendments thereto, and for a reasonable period of time after such release(s) or adoption(s), to conduct an analysis of the impacts of any such State Budget on County finances. After such analysis, the County shall retain the right to either terminate this Agreement or to renegotiate the amounts and rates approved herein.

RESOLVED, that the County Executive or his authorized designee is hereby authorized to execute any documents and take any actions reasonably necessary and appropriate to effectuate the purposes of this Resolution.

Account to be Charged/Credited

Fund	Dept	Major Program, Program & Phase Or Unit	Object/ Sub-Object	Trust Account	Dollars
YEAR 2013	101	various	various	various	\$400,000
YEAR 2014	101	various	various	various	\$400,000

Budget Funding Year(s) 2013 & 2014 Start Date 1/1/2013 End Date 12/31/2014
(must match resolution)

Funding Source Tax Dollars \$800,000
State Aid _____
\$ 800,000 Federal Aid _____
(must match resolution) Other _____

Schedule "A"

Mitchell & Titus

	<u>Hourly Rate</u>
Partner	\$ 210
Manager	190
Supervisory staff	125
Staff	110

O'Connor Davies

	<u>Hourly Rate</u>
Partner	\$ 290
Manager	210
Supervisory staff	125
Staff	105

Albrecht, Viggiano, Zureck & Company, P.C.

Partner	\$330
Principal	280
Manager	230
Supervisor	160
Senior staff	140
Staff	110

Wei Wei & Co.,LLP

Partner	\$ 220
Manager	120
Supervisor	100
Senior	85
Semi-senior	70
Staff /associate	60

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